

# Simulation-based support for product development of innovative building envelope components

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## 1 **Abstract**

2 A need for innovation in building envelope technologies forms a key element of  
3 technology roadmaps focusing on improvements in building energy efficiency. Many  
4 new products are being proposed and developed, but often, a lack of insights into  
5 building integration issues is an obstacle in typical product development processes.

6 The main objective of this paper is to demonstrate the potential of expanding the  
7 application area of whole-building performance simulation and analysis towards  
8 decision-making support in the domain of research and development of such  
9 innovative building products. We propose a simulation-based approach that can help  
10 overcome several of the existing limitations.

11 The methodology combines building performance simulation together with  
12 sensitivity analysis and structured parametric studies to provide multi-scale, multi-  
13 disciplinary information about the performance of different product variants. The  
14 strength of this computational approach lies in increased opportunity for analysis and  
15 informed decision-making on the basis of whole building performance information,  
16 and therefore less dependence on trial and error procedures.

1            This methodology is illustrated in an application example of a new type of  
2 switchable glazing where we give recommended directions for improved product  
3 specifications.

4

5    **Keywords:**

6    Product development; building performance simulation; decision support; smart  
7    windows; indoor environmental quality; energy efficient buildings

1 **1. Introduction**

2 The present and future of sustainability in the built environment is influenced by two  
3 opposing factors. From an environmental perspective, there is the need to reduce  
4 building-related CO<sub>2</sub> emissions [1]. However, at the same time, the importance of  
5 high levels of indoor environmental quality is well-recognized [2], and comfort  
6 expectations continue to rise [3, 4]. As technological solutions in response to this  
7 challenging situation, many innovative building technologies and components have  
8 recently been proposed [5, 6, 7, 8]. In particular, the integration of active and passive  
9 design elements in the building envelope is increasingly receiving attention from the  
10 research and development community [9, 10, 11].

11 Diffusion of new technologies into daily construction practice is a challenging but  
12 essential step towards realizing effective contributions of these innovations in terms  
13 of sustainability goals [12, 13, 14]. Wide-scale applicability and competitive cost-  
14 benefit ratios are both identified as essential conditions for making such impact  
15 happen. [15, 16].

16 In this paper, the application of building performance simulation (BPS) and analysis  
17 techniques is put forward as a useful additional tool in the product development of  
18 innovative building envelope components, to make the process more efficient and  
19 effective. This is done by proposing and testing a methodological framework that  
20 aims at overcoming some of the existing limiting elements in product development  
21 processes.

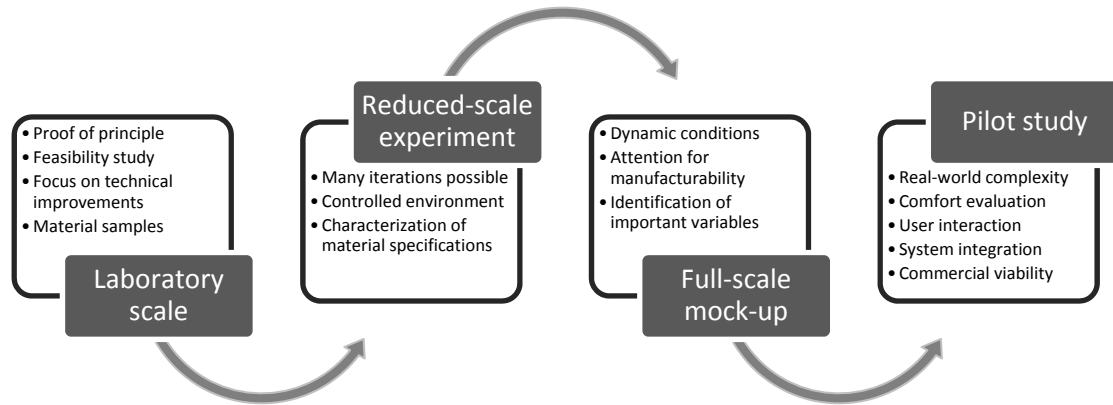
22 The paper is organized as follows. Section 2 continues with an overview of recent  
23 innovative building envelope components and identifies some of the barriers and  
24 limitations that are faced in the innovation process. In Section 3, this is followed by a  
25 short review of the current role of modeling and simulation in product development of  
26 new building envelope components. In Section 4, we propose a methodological

1 framework for more effective use of BPS throughout multiple stages of the product  
2 development process. This method is then applied to a case study of a new type of  
3 switchable glazing in Sections 5 and 6. After reflecting on findings from the case  
4 study, the paper is concluded in Section 7 by discussing how BPS can help  
5 overcome some of the limitations we identified.

6

## 7 **2. Barriers in product development of innovative building envelope** 8 **components**

9 From discovery to deployment as a marketable product, new products go through  
10 several stages of the research and development (R&D) process [17]. Figure 1  
11 presents an overview of characteristic phases that a new product typically undergoes  
12 in product development cycles of innovative building envelope components.

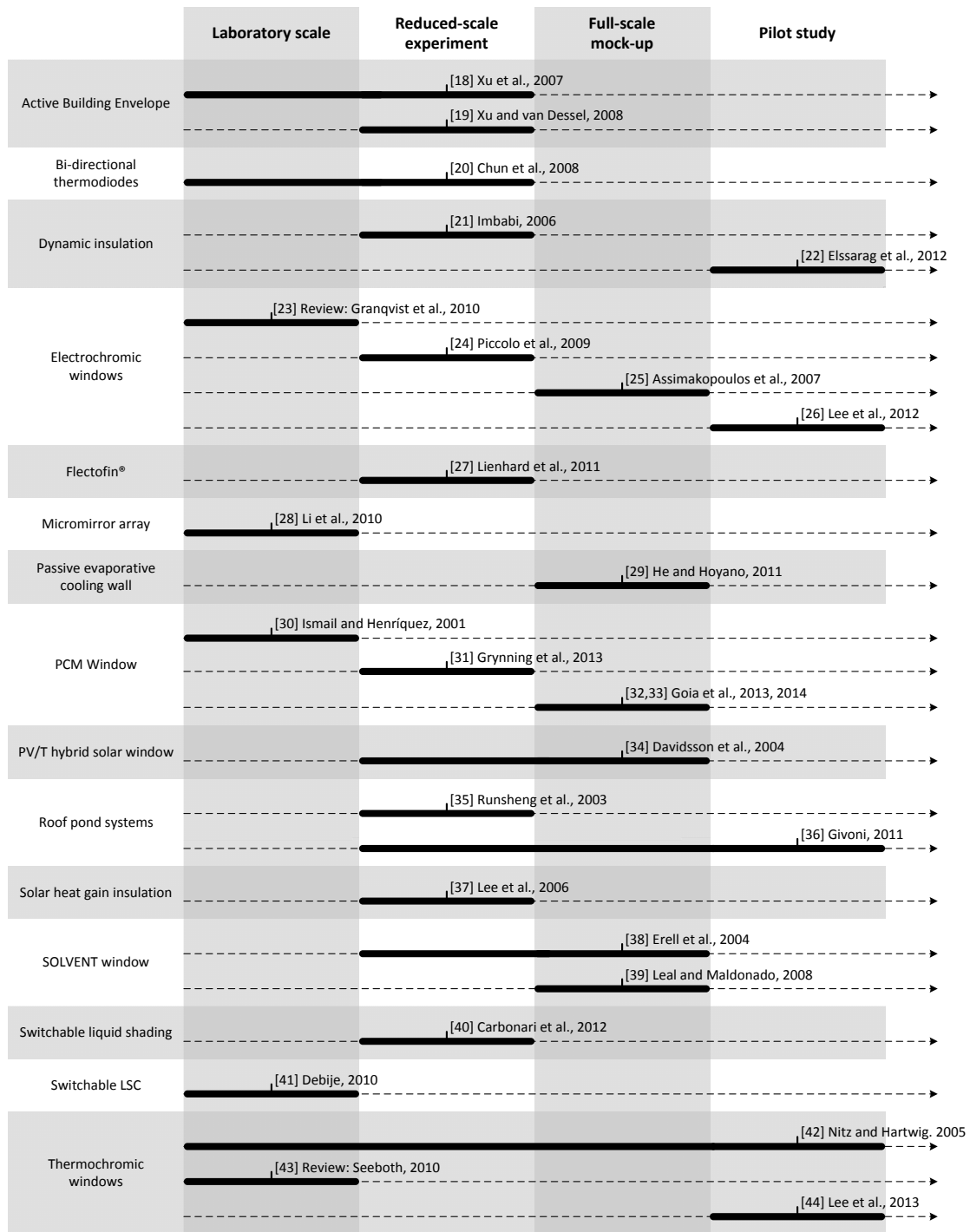


13

14 **Figure 1: Characteristic phases in product development of innovative building envelope**  
15 **components.**

16 In Figure 2, recent publications describing R&D steps of various innovative adaptable  
17 building envelope components are classified according to these phases [18-44] .

1



2

3 **Figure 2: Classification of building envelope R&D publications in relation to the characteristic**  
 4 **phases from Figure 1.**

5 The success or failure of innovations in the construction industry is influenced by  
 6 numerous factors. The ability of innovation teams to show that their new product will  
 7 reduce cost and enhance quality or performance, has been recognized as one of the

1 key enablers for success in this context [17]. A lack of effective communication about  
2 performance aspects, by contrast, was identified as one of the significant barriers  
3 hindering technical innovation [45].

4 Considering these drivers and barriers for innovation, a number of inefficient  
5 elements in the R&D process were identified from the studies summarized in Figure  
6 2:

7 • **Mismatch between information need and availability:** Product  
8 development of building envelope components often takes an iterative, but  
9 linear problem solving approach (Figure 1) [46, 47]. Decisions in the early  
10 stages have highest impact on the end result, but in the absence of detailed  
11 whole-building performance information, tend to be based on intuition rather  
12 than analysis. Because only limited performance information is available, it is  
13 difficult to set goals, and measure whether they are achieved. Moreover,  
14 early-stage identification of most promising directions for further development  
15 is a challenging task.

16 • **Disconnection between material science and building scale:** Multiple  
17 stakeholders with diverse interests are involved in the product development  
18 process. As a result, there is a need for objective performance information to  
19 assist in decision-making. The innovation process moreover typically spans  
20 across multiple engineering disciplines. The expertise required for  
21 contributing to progress in technology development tends to be in a different  
22 domain than the expertise required to assess what impacts this has on the  
23 built environment. In the existing workflow, material scientists have limited  
24 guidance as to which properties are optimal [44], and it may not be  
25 straightforward to appraise how modifications on the material scale affect  
26 performance aspects on the building level. There is a demand for integrated,  
27 multi-scale, multi-disciplinary tools to provide such complex insights.

- 1       • **Lack of information on building integration issues:** Annual performance  
2       of building envelope components is strongly coupled to building-specific  
3       design attributes (e.g. glazing percentage, orientation) and dynamic  
4       disturbances (e.g. climatic conditions, occupants' behavior). Component-level  
5       performance metrics like U-value and solar heat gain coefficient, which are  
6       determined under a single set of standard test conditions, can capture this  
7       type of complexity only partially [48, 49]. In many situations, good design  
8       solutions are those that find a balanced trade-off point considering the  
9       multitude of competing performance criteria over the whole building life cycle  
10      [50, 51]. Pushing component-level properties such as visible transmittance  
11      towards either high or low extremes might therefore not always be the best  
12      solution, but a more thorough analysis of building performance issues is  
13      needed to make well-informed decisions. Moreover, an increasing number of  
14      innovative components make use of materials with controllable, dynamic  
15      properties. In such cases, considering the adaptive behavior under transient  
16      conditions is fundamental for evaluation of the performance [11].
- 17      • **Limitations of experiments:** The task of obtaining reliable performance  
18      information on the basis of experiments is not always straightforward. This  
19      holds for measuring the different building energy flow paths [52], and also  
20      applies to objective quantification of thermal [53] and visual comfort  
21      perception [54]. Moreover, conducting series of experiments with different  
22      product variants is a time-consuming and labor-intensive activity. Because of  
23      planning and budget constraints, the number of product iterations often needs  
24      to be kept as low as possible.
- 25      • **No what-if analysis:** In the conventional product development process, only  
26      a limited number of scenarios, related to such factors as orientation, building  
27      typology and climate can be examined. It is difficult to make projections of  
28      performance outside the range of tested conditions on the basis of this

1 bounded and incomplete knowledge. Technological product development can  
2 also be hampered by the state of innovation itself. The envisioned directions  
3 for further development may be clear, but the technology is still immature, or  
4 evaluated on the basis of semi-functional prototypes [44]. Test output from  
5 experiments may consequently give a distorted view of reality, and thereby  
6 introduce the risk that the actual performance of the end product is  
7 misinterpreted [55]. Physical tests thus provide only limited insights into  
8 possibilities of products with specifications that push the edge of what is  
9 possible. To explore future directions, it can sometimes be worthwhile to  
10 assess the performance of visionary, hypothetical product variants with  
11 properties that cannot yet be manufactured [56]. The virtual world of  
12 computer simulations is well-suited for supporting this type of analyses.

13 Considering these limitations in existing product design and development processes,  
14 it is expected that some emerging building envelope technologies do currently not  
15 reach their full potential. This situation potentially leads to sub-optimal solutions  
16 which have negative impact on the competitive position of product developers, but is  
17 also a missed opportunity for the innovations to contribute to sustainability goals.

### 18 **3. The role of simulation in new building product development**

19 BPS takes into account the dynamic interactions between building design, climatic  
20 conditions, and user behavior, and is therefore considered as a valuable resource in  
21 the process of refining building performance. These attributes are the reason why  
22 BPS is routinely used for supporting informed decision-making in the building design  
23 process [57], and make that BPS is also gradually being introduced in the building's  
24 operational phase [58]. In relation to innovative building envelope components, the  
25 dominant use case of BPS is in the performance evaluation of specific building  
26 design concepts [59, 60, 61]. Thus far, the systematic application of BPS for

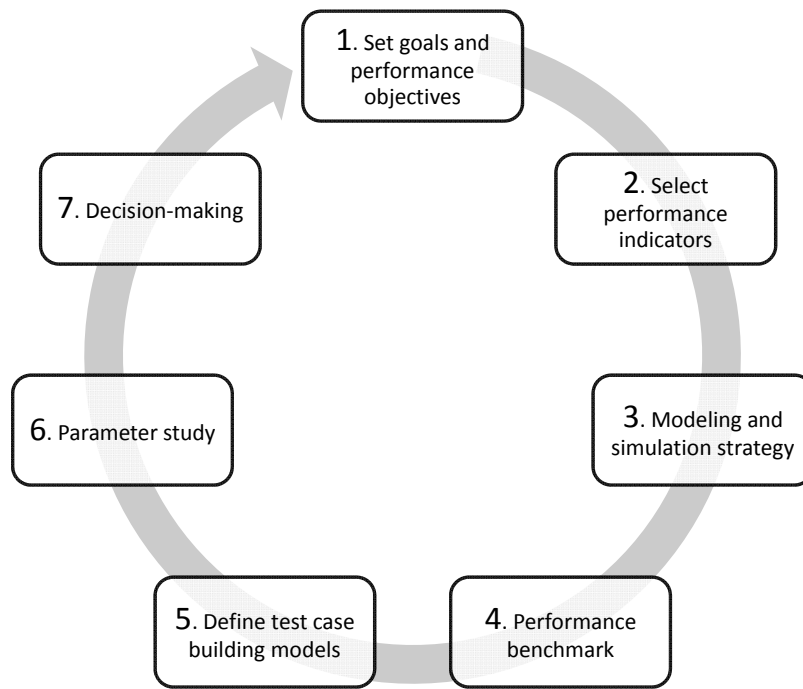


1 decision-making support in the process of product specification development  
2 remained largely unexplored. Strachan (2008) [62] argues that the application of BPS  
3 can “offer the bridge between outdoor tests and full-scale building performance  
4 prediction”, and presents a number of applications in this last phase of product  
5 development. A methodology for using BPS as an explicit R&D tool in the earlier  
6 stages of the process has not been proposed before. The calculations or simulations  
7 being used in these stages typically focus on the component-level only, and tend to  
8 be limited to steady-state conditions for typical or extreme cases. Nevertheless, BPS  
9 has occasionally been associated with terms like virtual laboratory [63, 64, 65], and  
10 conducting of virtual experiments [66]. In the following sections, we take this notional  
11 concept a step further, by presenting an approach that can be implemented  
12 throughout all stages of the product development process.

#### 13 **4. A methodology for BPS-based support in product development**

14 Product development processes of new building envelope components are not easily  
15 captured in generally-applicable workflows or process diagrams. The reason is that  
16 there are many case-specific characteristics that can be unfitting for other product  
17 development processes. For example, the innovation can be (i) industry- or  
18 university-driven, (ii) autonomous, or part of a family of (existing) products, or (iii) with  
19 or without availability of prototypes, or access to testing facilities. Moreover, each  
20 process has its case-specific stakeholders, performance aspects (conflicting or not),  
21 constraints, time-lines, etc.

22 To add structure to the role of simulation in this process, without being too  
23 prescriptive, we propose the following seven-step procedure for effective use of BPS  
24 in the product development process of innovative building envelope components  
25 (Figure 3).



1

2 **Figure 3: Simulation-based methodology for decision-support in R&D of building envelope**  
 3 **technologies**

4 **1. Set goals and performance objectives.** Before beginning the analysis, it is  
 5 important to determine the intended purpose of the simulation study. In parallel,  
 6 the performance objectives that have to be achieved by the innovative  
 7 component need to be established. It is necessary to identify the multiple  
 8 performance aspects that together contribute to the success or failure of the  
 9 product, and to reach consensus about their priorities [13, 27]. Such a  
 10 performance-based approach, with clearly defined objectives, can guide the  
 11 team in decision-making through the various stages of the development process.  
 12 This first step should additionally help in defining focus by specifying boundaries  
 13 in terms of climatic conditions, building typology, technical constraints, etc.

14 **2. Select performance indicators (PIs).** Performance of different product variants  
 15 can be evaluated and compared after identification of appropriate PIs in line with  
 16 the objectives. The set of PIs should address the innovative component's ability  
 17 to influence the building's environmental, economic and social impacts. Note that

1 improper selection of PIs may lead to insufficient insight for decision-making  
2 throughout the development process.

3 3. **Develop a modeling and simulation strategy.** The aim of this step is to  
4 transform the component's observed behavior into models that can be  
5 embedded in whole-building simulation programs. This model development  
6 should capture all relevant physical principles and address the PIs at an  
7 appropriate level of detail, by finding a right balance between model complexity  
8 and resulting accuracy [67, 68].

9 Measurements form an essential part of this step. On the one hand, they are  
10 used to complement the model development and for parameter identification of  
11 building envelope characteristics. On the other hand, they can help build  
12 confidence in model outcomes by means of empirical validation studies.

13 It should be realized that many of the product development processes are at the  
14 forefront of technology (Figure 2). As a result, off-the-shelf simulation tools may  
15 have limited modeling capabilities to support adequate performance prediction of  
16 such innovative concepts [69]. To avoid time-consuming development of new  
17 computational models from scratch, rapid virtual prototyping [70, 71] or co-  
18 simulation techniques [72] may become interesting options.

19 4. **Performance benchmark.** This step provides the R&D team with information  
20 about performance of the product in its present state. The analysis can be done  
21 by comparing performance of the innovative component with (i) direct  
22 competitors, or (ii) other technologies that fulfill similar roles. To perform this  
23 study, one or more reference buildings with simple building and system features  
24 are modeled in the selected BPS tools. As such, this phase serves to give an  
25 indication of the scope for improvement, and builds awareness of the strengths  
26 and weaknesses of the product.

1 5. **Define test case building models.** One of the differences between simulation  
2 support in building design versus product development is the inherent degree of  
3 variation in potential future applications in the latter case. As a general rule, the  
4 R&D team seeks to develop products that can accommodate a wide range of  
5 building designs. In product development, it is therefore important not to focus  
6 on the specifics of one building, but instead, to explore a variety of possibilities.  
7 Sensitivity analysis [73, 74] can act as a tool for defining test case buildings with  
8 different design attributes in an appropriate way. In the BPS domain, sensitivity  
9 analysis is normally used for identifying the set of variables which have most  
10 significant influence on simulation outcomes [75]. In the present context,  
11 sensitivity analysis is used to adequately define test case building models, based  
12 on the ranking of design variables with respect to differences in comfort and  
13 energy performance. The test case building models represent more extreme  
14 cases than the reference building used in step 4. This distinction is made with  
15 the following three goals in mind:

- 16 • Accentuating differences in performance, and ensuring that they can  
17 be attributed to variations in product specifications.
- 18 • Identifying the need for one common product, or a family of products,  
19 to be customized to the needs of different applications [76].
- 20 • Targeting the market niche with the highest potential for early  
21 applications.

22 6. **Parameter study.** To identify the best performing product variants, or  
23 directions for improvement, a simulation-based parametric or optimization  
24 study is performed, with the innovative component integrated in the  
25 previously defined test case buildings. Whereas the previous step focused on  
26 differences in building design aspects, this step considers performance

1 effects related to parameter variations of the envelope component itself. It is  
2 important to note that virtual prototyping experiments [77, 78, 79, 80] can be  
3 performed, with product specifications that may not yet be feasible in practice.  
4 In addition, a check for performance robustness, or "what-if" analyses can be  
5 performed at the end of this step by considering a range of different use  
6 scenarios or ambient conditions.

7 **7. Decision-making.** In this final step, simulation outcomes are compared to the  
8 goals and requirements from step 1. The results can be used for decision-  
9 making regarding e.g. most-promising product specifications, outlining material  
10 science development objectives and directions, and communicating performance  
11 benefits to stakeholders. The simulation process can be repeated in loops, to  
12 represent different product generations throughout the development process.

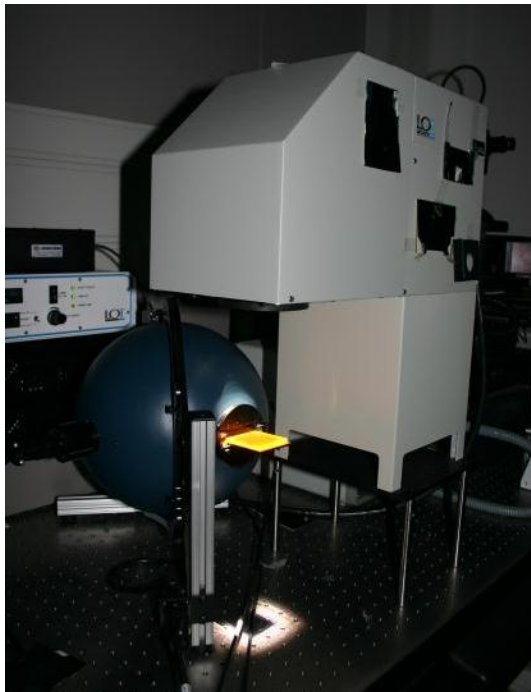
13 To illustrate the use of BPS in the integrated development process of innovative  
14 components for the building envelope, the methodology as outlined above is applied  
15 and examined for a new type of switchable window that is described in the following  
16 section.

## 17 **5. Application example: new switchable window technology**

18 For many years, switchable window technology holds the promise of becoming a  
19 significant player in energy efficient building design [81]. Progress over the last years  
20 has led to many advances, and recently resulted in a first generation of commercially  
21 available switchable glazing products [82, 83]. Despite this progress, widespread  
22 application still seems to be a few steps away. To make switchable glazing more  
23 competitive with conventional types of solar shading, ongoing R&D efforts are  
24 focusing on several different aspects, including: tuning of spectral properties [84, 85],  
25 improving thermal performance of the window [86], reducing switching times [87],  
26 enhancing long-term stability [88], and optimizing operation strategies [89].

1 The work presented in this application example is part of these developments. More  
2 specifically, it is embedded in a new line of research that aims to unite the  
3 complementary positive aspects of liquid crystal switchable windows and  
4 luminescent solar concentrators [41, 85, 90]. A key advantage of such a window lies  
5 in the potential to generate the electricity that is required for its own operation.  
6 Because no external power supplies are needed, this opens up interesting  
7 opportunities in renovation projects.

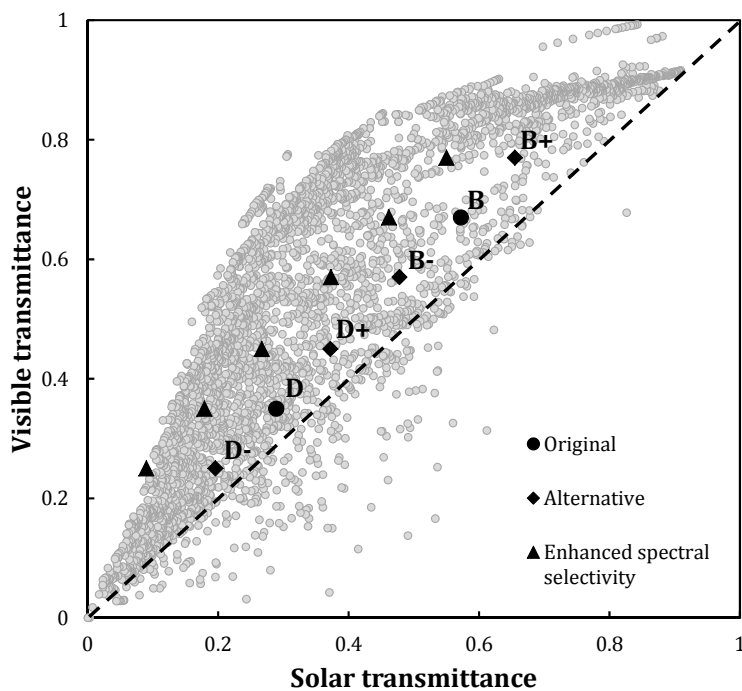
### 8 **5.1. Description of the initial situation**



9  
10 **Figure 4 Overview of experimental set-up for characterization of material properties**

11 Measurements on reduced-scale samples were carried out to characterize optical  
12 properties of the switchable window according to ISO 9050 (Figure 4). The  
13 switchable window properties shown in Figure 5 (black dots for dark (D) and bright  
14 (B) states) represent the state of development after proof-of-principle, but prior to the  
15 simulation process, and contrast these to all other optical properties (grey dots) in the  
16 international glazing database (IGDB) [91]. Compared to the more mature, state-of-

1 the-art electrochromic materials, the technology shows a relatively restricted  
 2 switchable range [83]. But as the technology is still in the earlier stages of  
 3 development, there is sufficient scope for adjustments. For the purpose of this study,  
 4 we assume that the window can only be switched in two states; the bright and dark  
 5 states respectively. As opposed to the switching delays observed in e.g.  
 6 electrochromic window systems, this type of window is able to switch  
 7 instantaneously.



8

9 **Figure 5 Relationship between solar and visible transmittance for the switchable window states**  
 10 **in relation to other glazing types in the IGDB (grey dots). Black dots indicate the current**  
 11 **situation for bright (B) and dark (D) state. Diamonds and triangles are only used in Section 6.6.**  
 12 **Diamonds indicate options for the parametric study (Section 6.6) with the same light-to solar-**  
 13 **gain ratio but more (+) or less (-) transmission; triangles indicate options with enhanced spectral**  
 14 **selectivity (Section 6.6.2).**

## 15 **6. Results**

16 In this Section we present the results of simulation studies which were performed to  
 17 assist decision-making during product development of the new switchable window

1 type. The results are described by following the same steps as outlined in the  
2 framework of Section 4.

### 3 **6.1. Goals and performance objectives**

4 Dynamic optical properties of switchable windows have a direct influence on both  
5 energy performance and indoor environmental quality of a building. Depending on  
6 the window's state, the positive and negative aspects of incoming solar radiation can  
7 be modulated over time. Switchable windows with a large dynamic switching range  
8 have, in theory, the highest potential for reducing heating and cooling energy  
9 consumption [44, 92]. In addition, a low-transparent dark state works well for  
10 reducing glare, whereas high transparency in the bright state is beneficial for daylight  
11 utilization and view to outside. Determination of these advantages is, however, not  
12 always straightforward because savings may be offset by other PIs, such as  
13 increased electricity consumption for lighting. Moreover, from the point of view of  
14 material development, it is not realistic to focus on all aspects at the same time. The  
15 goal of this simulation-based study therefore is to identify priorities for further  
16 development of the switchable glazing product based on integrated comfort and  
17 energy performance considerations.

18 For successful integration of the new switchable window technology in building  
19 envelopes, the following requirements should be met:

- 20 • Low energy consumption for lighting, heating and cooling;
- 21 • High degree of daylight utilization;
- 22 • Low occurrence in perception of daylight glare;
- 23 • High levels of thermal comfort.



1           **6.2. Performance indicators**

2   Recently, a number of studies had the goal to investigate the interrelationships  
3   between thermal, visual and energy performance indicators in the context of: window  
4   design optimization [50], computer-based daylighting analysis [93], solar shading  
5   systems [94], and smart windows [95]. Based on findings from these studies, and in  
6   line with the objectives of step 1, the PIs in Table 1 were selected for the  
7   performance assessment. Table 1 makes a distinction between absolute and relative  
8   PIs. Absolute indicators allow for generic comparisons, whereas the relative PIs  
9   specifically focus on the difference with a reference or benchmark case. To compute  
10   primary energy consumption, we assume that the seasonal heating efficiency = 0.9,  
11   cooling COP = 3, and the primary energy conversion factor for electricity = 2.5.

12   **Table 1: Overview of performance indicators**

<b>Absolute</b>	<b>Relative</b>
Total primary energy demand [kWh/m <sup>2</sup> <sub>floor area</sub> ]	Total energy savings [%]
Useful daylight illuminance (UDI) [-]	Improvement in UDI [%]
Daylight glare probability (DGP) [-]	Reduction in glare [%] or daylight glare hours [hr]
Overheating hours [hr]	Reduction in overheating hours [%]

13

14           **6.3. Model development and simulation strategy**

15   Compared to BPS models for performance prediction of conventional facade  
16   systems, two additional requirements apply for the case of switchable windows:

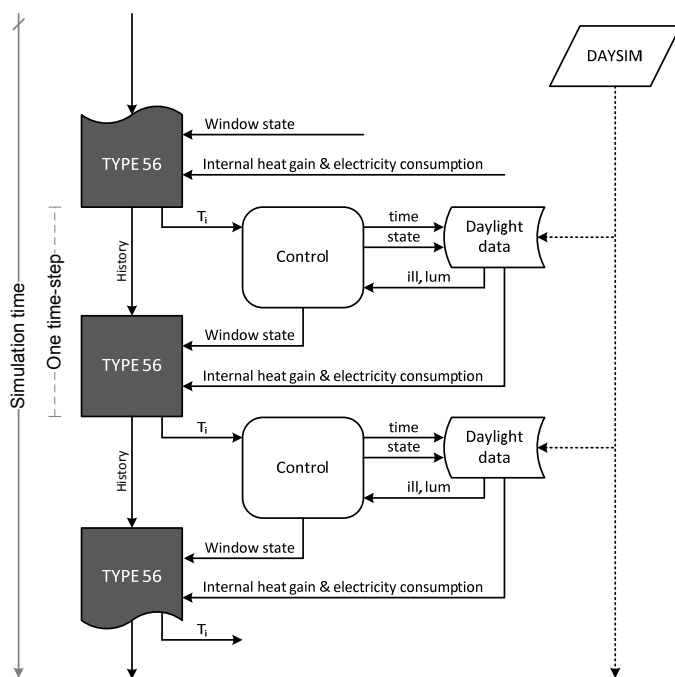
- 17       • Flexibility in input of new window properties;
- 18       • Possibility to change and control window properties during simulation runtime.

19   Because of these requirements, special attention needs to be paid to the selection of  
20   BPS tools. Various simulation approaches have previously been used for analyzing  
21   the performance of switchable glazing products (e.g. [25] [89] [95] [84]). In this  
22   research, we adopt a high-resolution, coupled simulation strategy which is outlined in

1 Figure 6. Daylight simulations were first conducted in a preprocessing stage for all  
 2 window states independently. DAYSIM [96] is used to calculate annual time-series of  
 3 five-minute luminance and illuminance data and daylight glare probability (DGP)  
 4 values at specific sensor-points. This data is then supplied to TRNSYS [97], which  
 5 selects the right data during run-time corresponding to the operational logic in the  
 6 window controller. The integration of thermal and visual domains is accomplished by  
 7 feeding internal gains for lighting from DAYSIM to TRNSYS type 56 and basing  
 8 window state on either thermal or lighting considerations [98].

9 Throughout the model development process, it was assumed that the behavior of the  
 10 switchable window can be modeled using the default set of input parameters and  
 11 physical relationships for specular glazing systems. The validity of this assumption  
 12 was demonstrated in experiments with the liquid crystal window integrated in  
 13 reduced-scale prototypes exposed to atmospheric boundary conditions. Details of  
 14 this validation study are reported in [99].

15

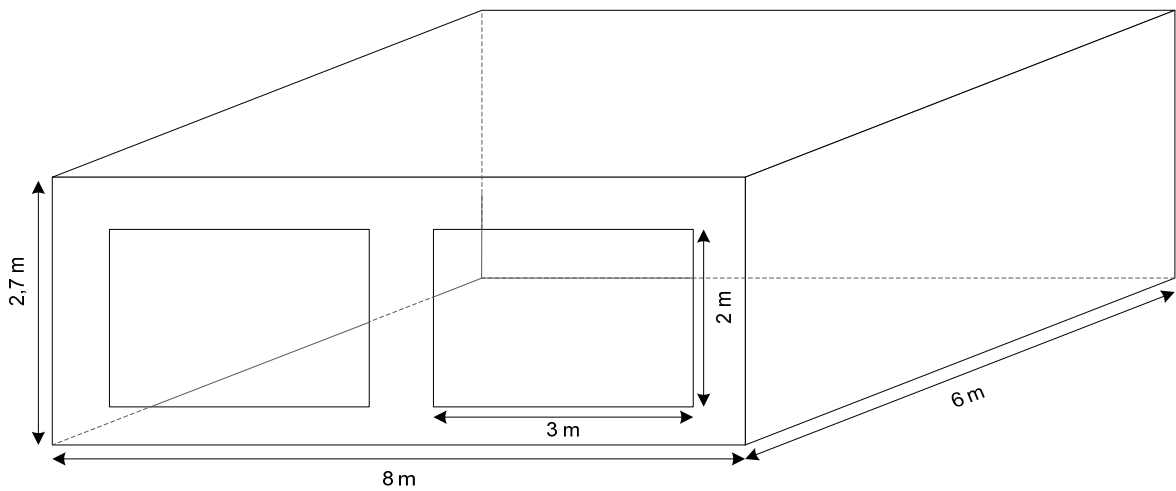


16

17 **Figure 6: Coupled simulation approach using TRNSYS and DAYSIM**

1        **6.4. Performance benchmark**

2        The analysis in this part of the study focuses on a four-person South-facing perimeter  
3        office zone, situated at an intermediate floor, and surrounded by identical office  
4        spaces (Figure 7). Representative values for a Dutch reference office building [100]  
5        were taken for other construction details. The office zone is occupied from 9 am to 5  
6        pm, and results are evaluated with ambient conditions for Amsterdam, the  
7        Netherlands.



8

9        **Figure 7 Office room used for the benchmarking study**

10        Previous studies show that the performance of switchable windows is strongly linked  
11        to the window control strategy that is used for their operation [25, 89]. Before doing  
12        comparative analyses on the product level, it is therefore important to examine these  
13        control aspects first. Six different operation strategies were analyzed in this study;  
14        five strategies using automated control, and one using manual operation as a  
15        reference strategy (Table 2).

16

17        **Table 2: Overview of the operation strategies**

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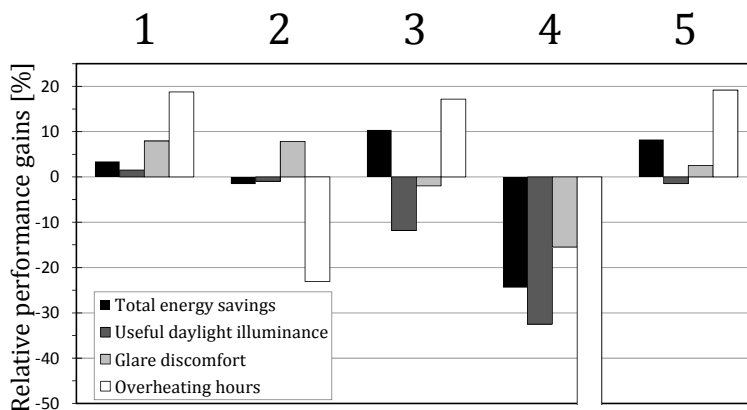
Reference operation strategy	window operated with control strategy that resembles manual operation of venetian blinds (Lightswitch) [101]
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Operation strategy 1	window switches to dark when daylight illuminance on the workplane > 500 lx
Operation strategy 2	window switches to dark when exterior illuminance on the window is > 20,000 lx
Operation strategy 3	window switches to dark when room air temperature > 24°C
Operation strategy 4	window switches to dark when outdoor air temperature > 24°C
Operation strategy 5	window switches to dark from 1 May until 30 September

1

2 Figure 8 shows the results for each of the different automated window operation  
3 strategies, relative to the reference strategy with manual control. It can be observed  
4 that only the control strategy based on indoor daylight illuminance (strategy 1),  
5 results in an improvement in all four performance aspects. Therefore, this strategy is  
6 selected for use in further analyses in this paper.



7

8 **Figure 8 Performance of the switchable window for different window operation strategies**

9 As switchable windows could replace conventional types of solar shading, it is also  
10 important to position their performance with respect to competing technology options.  
11 To this end, a benchmark study was performed by comparing performance aspects  
12 of the switchable window to cases with conventional double glazing, using the same  
13 U-value, combined with solar shading in the form of (i) a 50 cm horizontal overhang  
14 and (ii) internal venetian blinds. From the results presented in Table 3, it can be

1 observed that the switchable window is the most favorable option when it comes to  
 2 energy demand and thermal comfort. However, there is still room for improvement in  
 3 the windows' ability to reduce the occurrence of glare, while proving sufficient levels  
 4 of daylight in the occupied space.

5

6 **Table 3: Results of the benchmark study, comparing and ranking the switchable window with an**  
 7 **overhang and venetian blinds.**

		<b>Overhang</b>	<b>Venetian blinds</b>	<b>Switchable window</b>	
<b>Energy</b>	kWh/m <sup>2</sup>	113.5	127.9	101.2	
	Rank	2	3	1	
<b>Overheating</b>	hr	277	78	48	
	Rank	3	2	1	
<b>UDI</b>	<100 lux	%	6.3	18.8	6.8
	100-2000 lux	%	79.1	80.9	72.5
	>2000 lux	%	14.6	0.3	20.7
	Rank		2	1	3
<b>DGP</b>	Imperceptible	%	92	98	89
	Rank		2	1	3

8

9 **6.5. Definition of test case building models**

10 A single-variable sensitivity study was performed to define the test case building  
 11 models that are used in the parametric study. This was done by modifying the  
 12 parameters of a base case model over the ranges indicated in Table 4. The  
 13 outcomes of the sensitivity study were post-processed using the elementary effects  
 14 screening method [102, 103]. In this analysis, the sensitivity indices are calculated  
 15 relative to the performance of the base case with switchable glazing. As such, we do  
 16 not evaluate the absolute merits of applying a switchable window, but shift the  
 17 attention towards differences with the existing product variant.

18 **Table 4: Parameter values and samples for the sensitivity study**

<b>Parameter</b>	<b>Unit</b>	<b>Base case value</b>	<b>Range</b>	<b>Samples</b>
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Window-to-wall ratio	[-]	0.5	0.15 – 0.95	0.15, 0.25, 0.35, 0.45, 0.55, 0.65, 0.75, 0.85, 0.95
Window orientation	[-]	south	-	north, east, west
Window position	[-]	center	-	top, bottom, left, right
Room depth	m	5.40	3 – 7	3, 4, 6, 7
Wall insulation	W/m <sup>2</sup> K	0.3	0.1 – 0.5	0.5, 0.4, 0.2, 0.1
Thickness of thermal mass layer	cm	4	1 – 8	1, 2, 3, 6, 8

1

2 Table 5 shows the parameter ranking as outcome of the sensitivity analysis. Because  
3 building performance is most sensitive to the parameters ‘window-to-wall ratio’ and  
4 ‘window orientation’, it is worthwhile to investigate to what extent different  
5 fenestration properties affect the performance under a wider range of values for  
6 these two design attributes. To this end, eight variants of the reference office space  
7 are defined as test case buildings for further analyses. These test case buildings  
8 have a window-to-wall ratio (WWR) of 25% and 95%, and are evaluated in all four  
9 cardinal orientations.

10

11 **Table 5: Ranking of the influence of different building parameters on performance**

Rank	Total energy savings	Increase in UDI
1	Window orientation	Window area
2	Window area	Window orientation
3	Room depth	Room depth
4	Window position	Window position
5	Insulation	Insulation / Thermal mass
6	Thermal mass	

12

1        **6.6. Parameter study**

2                6.6.1. Influence of orientation and window-to-wall ratio (WWR)

3 Figure 5 gives an overview of the range of dynamic solar-optical window properties  
 4 which are tested in the parametric study. Black dots correspond to the original  
 5 window properties in the bright (B) and dark (D) state. Black diamonds represent  
 6 alternative sets of optical properties. For both states, alternative variants with more  
 7 (+) and less (-) transparency are tested with similar light-to-solar-gain ratio. In total,  
 8 this leads to nine different combination sets of glazing properties.

9 Table 6 shows results in terms of energy performance and UDI for every orientation  
 10 and WWR. The results are ranked in such a way that 1 represents the best, and 9  
 11 represents the worst-performing alternative. By doing this, it is relatively easy to  
 12 observe performance trends in the simulation output. The results for this example  
 13 show that combinations with B+ and D- tend to lead to the highest performance. The  
 14 product can therefore be improved by extending the range of operability between  
 15 bright and dark states. Further examination of results shows that for buildings with  
 16 small WWRs, higher light transmittance of the bright state has more influence on the  
 17 building performance, whereas for buildings with large WWRs, lower light  
 18 transmittance of the dark state leads to a more positive effect.

19 **Table 6: Ranking of results for different orientations and window-to-wall ratios.**

Orientation		South		West		East		North	
WWR		0,97	0,25	0,97	0,25	0,97	0,25	0,97	0,25
<b>B-</b>	<b>D+</b>	8	8	8	8	3	8	9	7
<b>B-</b>	<b>D</b>	5	5	5	5	4	4	5	5
<b>B-</b>	<b>D-</b>	2	3	2	4	9	1	2	4
<b>B</b>	<b>D+</b>	9	9	9	9	8	9	8	9
<b>B</b>	<b>D</b>	6	7	6	7	7	7	6	8
<b>B</b>	<b>D-</b>	3	4	3	6	5	5	3	6
<b>B+</b>	<b>D+</b>	7	6	7	3	6	6	7	2
<b>B+</b>	<b>D</b>	4	2	4	2	2	3	4	1

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These findings suggest that perhaps it is not wise not to invest all time and effort in developing just one product with the widest modulation range possible. Instead, the development of customized windows, with a relatively narrow switching range, but tuned to the demands of different applications, seems to be a more promising approach.

*6.6.2. Influence of spectral selectivity*

As a potential improvement to the current glazing specifications, another set of properties with enhanced spectral selectivity is analyzed, i.e. having a higher visible light transmittance for the same solar transmittance (indicated with triangles in Figure 5). Because these alternative window sets have a higher light-to-solar-gain (LSG) ratio [104], it is expected that, in the cooling-dominated office, they lead to a more favorable tradeoff point in the interaction between solar gains and daylighting. In terms of daylight utilization and glare, no differences in performance are observed. However, as Table 7 shows, the performance gains in terms of reduced energy demand are significant. Especially for applications with large WWRs, the switching of optical properties in the near-infrared range is a direction that warrants further exploration.

**Table 7: Energy performance improvement relative to the case regular light-to-solar-gain ratio. The results are shown as improvements in percentage points.**

Orientation		South		West		East		North	
WWR		0,97	0,25	0,97	0,25	0,97	0,25	0,97	0,25
<b>B-</b>	<b>D+</b>	9.3%	4.2%	6.8%	2.7%	6.2%	2.3%	4.4%	1.1%
<b>B-</b>	<b>D</b>	9.8%	3.9%	7.3%	2.8%	6.2%	2.2%	4.4%	1.1%
<b>B-</b>	<b>D-</b>	9.0%	3.2%	6.7%	2.7%	6.0%	1.5%	3.7%	1.0%
<b>B</b>	<b>D+</b>	9.3%	4.3%	6.8%	2.9%	6.6%	2.4%	4.4%	1.2%



<b>B</b>	<b>D</b>	9.8%	3.9%	7.3%	2.9%	6.9%	2.3%	4.4%	1.2%
<b>B</b>	<b>D-</b>	9.0%	3.2%	6.7%	2.6%	6.7%	1.6%	3.7%	0.9%
<b>B+</b>	<b>D+</b>	9.2%	4.2%	6.7%	2.8%	6.4%	2.3%	4.4%	1.1%
<b>B+</b>	<b>D</b>	9.7%	3.7%	7.2%	2.8%	6.9%	2.2%	4.4%	1.1%
<b>B+</b>	<b>D-</b>	9.0%	3.0%	6.7%	2.5%	6.9%	1.9%	4.3%	1.0%

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2 **6.7. Case study conclusion and reflection**

3 Identifying priorities for further development of the switchable glazing product was  
4 specified as the main goal at the beginning of the simulation study. Because the  
5 computational approach uses high-resolution models that effectively take into  
6 account the mutual interactions between daylighting and thermal effects, we were  
7 able to analyze the performance of various switchable glazing alternatives at a high  
8 level of detail. Our results show different areas for improvement compared to the  
9 existing product variant, translating into a challenge that can be addressed in two  
10 different ways. One option is striving for the largest switching range possible, which  
11 coincides with the direction that tends to be pursued by most material scientists in  
12 this field [44, 92]. Analysis of our results, however, suggests that, for the conditions  
13 we investigated, it is more interesting to tune window specifications in response to  
14 the requirements under different design scenarios. For certain buildings it would be  
15 better to have high visible transmittance in the bright state, whereas in other  
16 applications low solar transmittance in the dark state is the key to obtaining higher  
17 performance. For the short-term development goals towards early market  
18 introduction, these divergent requirements are therefore treated in the form of two  
19 different variants of the same product family. Results from our simulations moreover  
20 show the importance of spectral selectivity and development of advanced control  
21 strategies that can respond to the multi-criteria nature of solar shading control.

22 This computational study served the main purpose of demonstrating the proposed  
23 simulation framework. It explored only a limited subset of possibilities for variable

1 window properties, and is limited in scope, but nevertheless provided valuable  
2 information to the product development team, and worked well as a medium for  
3 discussion and communication. Simulation-based research continues to be used in  
4 the R&D process for investigating the potential of windows with e.g. intermediate  
5 states in transparency, or further refined spectral selectivity, and for testing the  
6 robustness of our findings in different climates. Future research could additionally  
7 focus in more detail on the window control aspects and could make use of  
8 optimization algorithms to more efficiently explore the design option space.

## 9 **7. Discussion and conclusions**

10 In this paper, we proposed a simulation-based approach to assist decision-making in  
11 the process of innovative building envelope product development. By taking  
12 advantage of whole-building performance predictions in combination with sensitivity  
13 analyses and structured parametric studies, the method is able to provide insight into  
14 building integration issues of such components at an early stage of the R&D process.  
15 Through iterative evaluation of multiple product variants, the integration of simulation  
16 allows for strategic decisions that acknowledge high-potential directions in the  
17 development process and may therefore help creating competitive advantage by  
18 improving product performance or time-to-market in a cost-effective way. Moreover,  
19 the method also allows for multi-scale, quantitative, analysis of effects that are not  
20 easily captured in pilot studies or field test experiments, such as what-if studies and  
21 robustness evaluation of the solutions with respect to different occupancy scenarios,  
22 performance indicators, or climatic contexts.

23 The simulation-based approach does, however, not intend to replace the role of  
24 experiments. Yet, it can help give priority to test those candidate solutions with higher  
25 chances of success. Simulations can furthermore be used for exploring the potentials

1 of systems with properties that do not yet exist. This is something one can  
2 accomplish only through virtual experiments.

### 3 **8. Bibliography**

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